

SUPPLEMENTARY KNOW YOUR CLIENT (KYC), FATCA & CRS -SELF CERTIFICATION FORM FOR INDIVIDUALS

(Please consult your professional tax advisor for further guidance on your tax residency and related FATCA and CRS guidelines)

This form is to be filled by each unit holder separately

A. APPLICANT / GUARDIAN

PAN		iolio No.								
Name										
Gender M F O Occupation Type Service Business Others										
Father's Name										
Spouse's Name										
Address of tax residence would be taken as available	in KRA database. In case of any change please approach	KRA & notify the changes								
Type of address given at KRA 🗸 Residential or Business 🗸 Residential 🗸 Business 🗸 Registered Office										
Permissible documents are Passport Elect	Permissible documents are Passport Election ID Card PAN Card Govt. ID Card Driving License UIDAI Card NREGA Job Card Others									
Date of Birth D D M M Y Y Y	Place of Birth									
Country of Birth	Nationality									
E-mail ID		(Provide if not given)								
Mobile (Provide if not given)										
Are you a tax resident of any country other th	an India? Yes √ No √									
	in which you are resident for tax purposes and the	associated Tax ID Numbers below.								
Country [#]	Tax Identification Number [%]	Identification Type								
,		(TIN or Other, please specify)								
	#To also include USA, where the individual is a citizen/ green card holder of The USA %In case Tax Identification Number is not available, kindly provide its functional equivalent ^{\$}									
B. ADDITIONAL KYC INFORMATION	l									
Occupation Details [Please tick (\$\forall)] Image: Service Image: Private Sector Image: Propressional <										
Gross Annual Income (₹) [Please tick (√)] ✓ Below 1 Lac ✓ 1 - 5 Lacs ✓ 5 - 10 Lacs ✓ 10 - 25 Lacs ✓ >25 Lacs - 1 Crore ✓ >1 Crore										
Net-worth (Mandatory for Non-Individuals) Rs as on DD/MM/YYYY (Not older than 1 year)										
Politically Exposed Person (PEP) Status*										
*PEP are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.										

C. DECLARATION

I have read and understood the information requirements and the Terms and Conditions mentioned in this Form (read alongwith the FATCA & CRS Instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform Kotak Mahindra Asset Management Company Limited/ Kotak Mahindra Mutual Fund/ Trustees for any modification to this information promptly. I further agree to abide by the provisions of the Scheme related documents inter alia provisions on 'Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) on Automatic Exchange of Information (AEOI)'.

Signature	Date	D	D	M	M	Y	Y	Y	Y]						
	Place															

Applicant/ Guardian

FATCA & CRS TERMS & CONDITIONS

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

\$It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case investor has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, investor to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia			
U.S. place of birth	 Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below); AND Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality" or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth 			
Residence/mailing address in a country other than India	 Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below) 			
Telephone number in a country other than India	 If no Indian telephone number is provided Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below) If Indian telephone number is provided along with a foreign country telephone number Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR Documentary evidence (refer list below) 			
Standing instructions to transfer funds to an account maintained in a country other than India (other than depository account)	 Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below) 			

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*

2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident

AMC CONTACT ADDRESS & CALL CENTER DETAILS

Kotak Mahindra Asset Management Company Ltd.

6th Floor, Kotak Infinity, Building No. 21, Infinity Park, Off Western Exp Highway, Goregaon-Mulund Link Road, Malad (E), Mumbai - 400097. To know more about mutual funds, Visit: assetmanagement.kotak.com • Toll Free Number: 1800 222 626/ 022-66384400

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